# Potential Applications of Hourglass Matrix and its Quadrant Interlocking Factorization

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Hourglass matrix is recently shown to be a subset of Z-matrix which can be obtained from Quadrant Interlocking Factorization (QIF) of nonsingular matrix. Unlike Z-matrix, the factorization of hourglass matrix may not exist for every nonsingular matrix. However, the potential applications of hourglass matrix and its QIF, such as in statistics (Markov chains), cryptography (GGH encryption scheme) and in graph theory (mixed graph), surpasses the counterpart Z-matrix and its WZ factorization. Lastly, hourglass matrix can be partitioned into triangular block matrices having Schur complement.

**Keywords:** hourglass matrix; *z*-matrix; quadrant interlocking factorization; markov chains; GGH encryption; mixed graph

## I. INTRODUCTION

The appellation word "hourglass matrix" is coined by Demeure (1989) in describing the matrix derived from factorizing a square matrix, predominantly from real symmetric Toeplitz matrix or Hankel matrix by computing the entries column by column via bowtie-hourglass factorization (*WZ* factorization or quadrant interlocking factorization (*QIF*)). However, *WZ* factorization of nonsingular matrix to yield a butterfly (hourglass) shaped dense square matrix called *Z*-matrix is first posited by D. Evans and Hatzopoulos (1979). *WZ* factorization has been modified and applied together with its block factorization being discussed, see for examples (B. Bylina, 2018; D. J. Evans, 2002; Rhofi & Ameur, 2016). *Z*-matrix exists together with *W*-matrix during *WZ* factorization of nonsingular matrix *B*, such that (B. Bylina, 2003)

$$B = WZ \tag{1}$$

Where the entries in Z as

$$h_{i,j}^{*(k)} = h_{i,j}^{*(k-1)} + w_{i,k}^{*(k)} h_{k,j}^{*(k-1)} + w_{1,n-k+1}^{*(k)} h_{n-k+1,j}^{*(k-1)}$$
 (2)

and the entries in W are computed from  $w_{i,k}^{(k)}$  and  $w_{i,n-k+1}^{(k)}$  as

$$\begin{cases} z_{k,k}^{(k-1)}w_{i,k}^{(k)} + z_{n-k+1,k}^{(k-1)}w_{i,n-k+1}^{(k)} = -z_{i,k}^{(k-1)} \\ z_{k,n-k+1}^{(k-1)}w_{i,k}^{(k)} + z_{n-k+1,n-k+1}^{(k-1)}w_{i,n-k+1}^{(k)} = -z_{i,n-k+1}^{(k-1)} \end{cases}$$
(3)

For  $k=1,2,\ldots,\frac{n}{2}$ ;  $i,j=k+1,\ldots,n-k$ . The necessary and sufficient condition for matrix  $B=\left[b_{j,k}\right]_{j,k=1}^n$  to be factorized is that the central submatrices  $B_{n+2-2l}^c=\left[b_{j,k}\right]_{j,k=1}^{n+1-l}$  are nonsingular, where n is even order of matrix B (the assumption also holds for odd order) and c the centered submatrix of B, for  $l=1,\ldots,\frac{n}{2}$  (Rao, 1997). The factorization is known for the adaptability of its direct method to solve  $n\times n$  linear systems given as (Heinig & Rost, 2011).

$$Bx = c (4)$$

where  $\det(B) \neq 0$ ,  $x = [x_1, x_2, ..., x_n]^T$ ,  $x, c \in \mathbb{R}^n, B \in \mathbb{R}^{n \times n}$  $c = [c_1, c_2, ..., c_n]^T$   $B = \{b_{ij}\}$   $1 \leq i, j \leq n$ .

More so, it was further elucidated that hourglass matrix is the same as *Z*-matrix which can be partitioned into blocks structured *Z*-system (J. Bylina & Bylina, 2016; Heinig & Rost, 2005). Unfortunately, there are changes in structure of *Z*-matrix from *WZ* factorization or *QIF* which depend on the type of matrix (Toeplitz, Hankel, Hermitian, centrosymmetric, diagonally dominant or tridiagonal matrix) being factorized. Nevertheless, *Z*-matrix may not

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always imply hourglass matrix nor their applications are always indistinguishable. Consequently, the synonymity between hourglass matrix and *Z*-matrix dwindles over time without a cogent reason. Recently, Babarinsa and Kamarulhaili (2018) gave meticulous details of hourglass matrix and its quadrant interlocking factorization by restricting the computed entries of the factorization to be nonzero in comparison with the shape of hourglass device. This led them to conclude that hourglass matrix is a subset of *Z*-matrix. Thus, in Section II, we give the review of hourglass matrix and its factorization. While in Section III, the potential applications of hourglass matrix and its factorization are highlighted with some results.

#### II. HOURGLASS MATRIX

**Definition 1.** (Babarinsa & Kamarulhaili, 2018) Let H be an hourglass matrix of order  $n(n \ge 3)$  with strictly nonzero elements  $h_{i,j} \in \mathbb{R}$ , defined as

$$H = \begin{cases} h_{i,j} & 1 \le i \le \left\lfloor \frac{n+1}{2} \right\rfloor & i \le j \le n+1-i; \\ h_{i,j} & \left\lfloor \frac{n+2}{2} \right\rfloor \le i \le n & n+1-i \le j \le i; \\ 0, & \text{otherwise.} \end{cases}$$

In other words, an hourglass matrix (H-matrix) is a nonsingular matrix of order  $n (n \ge 3)$  with nonzero entries from the *i*th to the (n - i + 1) element of the *i*th and (n - i)i + 1) row of the matrix, o's otherwise for  $i = 1, 2, ..., \left| \frac{n+1}{2} \right|$ (Babarinsa & Kamarulhaili, 2018). The authors referred quadrant interlocking factorization of nonsingular matrix to yield hourglass matrix as WH factorization, whereas Z-matrix is obtained from WZ factorization. Though the factorization of H-matrix and Z-matrix are quite similar, WH factorization restricts the computed entries to be nonzero at every stage during the factorization. Unlike WZ factorization, WH factorization specifies the number of times row-interchange can be applied at each stage of the factorization. The WZ factorization exists for every nonsingular matrix often with pivoting while WH factorization may fail to exist even if the matrix is nonsingular. WZ and WH factorization require Wmatrix to be computed during the factorization process. Unlike the factorization of Z-matrix, the factorization for an hourglass matrix from a nonsingular matrix may not be from a symmetric positive definite or diagonally dominant matrix but definitely not from a tridiagonal matrix. Unlike Z-matrix, hourglass

matrix of order n has  $\frac{(n^2+2n-|(n+1)mod\ 2-1|)}{2}$  nonzero entries and  $\frac{(n^2-2n+|(n+1)mod\ 2-1|)}{2}$  zero entries. Therefore, the WH factorization gives

$$B = WH \tag{5}$$

**Proposition 1.** (Babarinsa & Kamarulhaili, 2018) Let H be an hourglass matrix of order  $n(n \ge 3)$ . Then, the determinant of hourglass matrix is

$$= \left\{ \begin{array}{c|c} \left| \frac{n-1}{2} \right| & h_{i,i}^{(i-1)} & h_{i,n+i-1}^{(i-1)} \\ \prod_{i=1}^{l-1} \left| h_{n+i-1,i}^{(i-1)} & h_{n+i-1,n+i-1}^{(i-1)} \right| & \text{if $n$ is even} \\ \frac{n+1}{2} & \left| \frac{n-1}{2} \right| & h_{i,i}^{(i-1)} & h_{i,n+i-1}^{(i-1)} \\ \frac{n+1}{2} \cdot \frac{n+1}{2} & \prod_{i=1}^{l-1} \left| h_{i,i}^{(i-1)} & h_{i,n+i-1}^{(i-1)} & h_{n+i-1,n+i-1}^{(i-1)} \right| & \text{if $n$ is odd} \end{array} \right.$$

Partitioning of hourglass matrix of order  $n \ (n > 3)$  into block triangular matrices gives Hsystem, with each block containing  $\left\lfloor \frac{n}{2} \right\rfloor \times \left\lfloor \frac{n}{2} \right\rfloor$  matrices, see Equation (6). The partition gives exactly four blocks of triangular matrices if n is even dimension while additional column vector,  $\tilde{x}$ , position at  $\frac{n+1}{2}$  th column of the matrix if n is odd dimension. The column vector  $\tilde{x}$  can be further partitioned into  $x_1$ , x and  $x_2$ , where  $x_1$  and  $x_2$  have dimension of  $\frac{n-1}{2} \times 1$ , and x an epicenter element (unit vector). Moreover, the major difference between  $Z_{system}$  and  $H_{system}$  is that each block in  $H_{system}$  has specific number of zero and nonzero entries, unlike  $Z_{system}$ 

$$H_{system} = \begin{bmatrix} H_{1,1} & H_{1,2} \\ H_{2,1} & H_{2,2} \end{bmatrix} \tag{6}$$

$$\begin{aligned} &Where \\ &H_{1,1} = \begin{cases} h_{i,j} & 1 \leq i \leq \left\lceil \frac{n-1}{2} \right\rceil, \quad i \leq j \leq \left\lceil \frac{n-1}{2} \right\rceil; \\ &0, & \text{otherwise.} \end{cases} \\ &H_{1,2} = \begin{cases} h_{i,j} & 1 \leq i \leq \left\lceil \frac{n-1}{2} \right\rceil, \quad \left\lceil \frac{n+3}{2} \right\rceil \leq j \leq n+1-i; \\ &0, & \text{otherwise.} \end{cases} \\ &H_{2,1} = \begin{cases} h_{i,j} & \left\lceil \frac{n+3}{2} \right\rceil \leq i \leq n, \quad \left\lceil \frac{n+3}{2} \right\rceil \leq j \leq i; \\ &0, & \text{otherwise.} \end{cases} \\ &H_{2,1} = \begin{cases} h_{i,j} & \left\lceil \frac{n+3}{2} \right\rceil \leq i \leq n, \quad n+1-i \leq j \leq \left\lceil \frac{n-1}{2} \right\rceil; \\ &0, & \text{otherwise.} \end{cases} \end{aligned}$$

The major difference between  $Z_{system}$  and  $H_{system}$  is that each block in  $H_{system}$  has specific number of zero and

nonzero entries, unlike  $Z_{system}$ . Like  $Z_{system}$ , the determinant of Hsystem can easily be calculated from its blocks. We will concentrate on the even order of  $H_{system}$ . Then, the Schur complement of a matrix block in Equation (6) is defined as follows

$$H_{system}/H_{1.1} = H_{2.2} - H_{2.1}H_{1.1}^{-1}H_{1.2}$$
 (7)

**Theorem 1.** Schur complement exists in Hsystem only if H-matrix is nonsingular.

Proof

For the existence of H-matrix like Z-matrix, the necessary and sufficient condition for WH factorization is that matrix B must be centro-nonsingular. First, let H-matrix of even order being factorized from nonsingular matrix B be

$$H = \begin{bmatrix} \alpha_{k,k} & \cdots & \alpha_{k,\frac{n}{2}} & \vdots & \beta_{k,\frac{n}{2}+1} & \cdots & \cdots & \beta_{k,n} \\ & \ddots & H_{1,1} & \vdots & \vdots & \vdots & H_{1,2} & \ddots & & & \\ & & \ddots & \vdots & \vdots & \ddots & & & \\ & & \alpha_{k,k} & \cdots & \beta_{k,l} & & & & \\ & & & \alpha_{k,k} & \cdots & \beta_{k,l} & & & \\ & & & \ddots & \vdots & \vdots & \ddots & & \\ & & & \gamma_{l,k} & \cdots & \delta_{l,l} & & & \\ & & & \ddots & \vdots & \vdots & \vdots & \ddots & \\ & & & \ddots & \vdots & \vdots & \vdots & \ddots & \\ & & & \ddots & H_{2,1} & \vdots & \vdots & H_{2,2} & \ddots & \\ & & & & \gamma_{n,k} & \cdots & \gamma_{n,\frac{n}{2}} & \vdots & \delta_{n,\frac{n}{2}+1} & \cdots & \cdots & \delta_{n,n} \end{bmatrix}$$
(8)

Where  $k = 1, 2, ..., \frac{n}{2}$ ; l = n - k + 1.. Then, the determinant of *H*-matrix is

$$\det(H) = \det \begin{bmatrix} \alpha_{k,k} & \cdots & \beta_{k,l} \\ \vdots & \ddots & \vdots \\ \gamma_{l,k} & \cdots & \delta_{l,l} \end{bmatrix}_{1 \le k \le \frac{n}{2}; l = n - k + 1}$$

$$\prod_{k=1}^{n} (\alpha_{k,k} \delta_{l,l} - \beta_{k,l} \gamma_{l,k})_{l = n - k + 1} \neq 0 \tag{9}$$

Next, partition Equation (8) into  $H_{system}$  of 2  $\times$  2 triangular block matrices as

$$H_{system} = \begin{bmatrix} H_{1,1} & H_{1,2} \\ H_{2,1} & H_{2,2} \end{bmatrix}$$
 (10)

If each  $2 \times 2$  triangular block matrix is singular (or  $H_{1,1}H_{2,2} = H_{1,2}H_{2,1}$ ), then  $H_{system}$  is not invertible which contradicts Equation (9). Thus, there exist at least two nonsingular triangular block matrices in  $H_{system}$ . If  $H_{1,1}$  is invertible as well as  $H_{2,2}$  (this is also true for  $H_{1,2}$  and  $H_{2,1}$ ), then the Schur complement of the block  $H_{1,1}$  in  $H_{system}$  is given as

$$H_{2.2} - H_{2.1}H_{1.1}^{-1}H_{1.2} \tag{11}$$

The determinant of Equation (11) is nonsingular because  $H_{2,2} - H_{2,1}H_{1,1}^{-1}H_{1,2}$  is a lower triangular invertible matrix and

$$\frac{\det(H_{2,2}-H_{2,1}H_{1,1}^{-1}H_{1,2})}{\det(H_{1,1})} \neq 0.$$

Thus,

$$\det(H_{system}) = \det(H_{1,1}) \det(H_{2,2} - H_{2,1}H_{1,1}^{-1}H_{1,2}).$$

This shows that the Schur complement of  $H_{system}$  depends on the existence of H-matrix.

# III. POTENTIAL APPLICATIONS OF HOURGLASS MATRIX AND ITS QIF ALGORITHM

A. Statistics: Markov chains

WZ factorization has been applied to find the numerical solutions of Markov chains, see (B. Bylina & Bylina, 2004, 2009). However, we can replace WZ factorization with WH factorization in modeling with Markov chains. Markov models are the most useful ones to describe queueing models. A homogeneous continuous-time Markov chain can be described with one singular matrix

$$Q = \left(q_{ij}\right)_{i,j=1,2,\dots,n} \tag{12}$$

called the transition rate matrix given by

$$q_{ij} = \lim_{\Delta t \to 0} \frac{p_{ij}(\Delta t)}{\Delta t} \tag{13}$$

and by

$$q_{ii} = -\sum_{i \neq j} q_{ij} \tag{14}$$

for  $i \neq j$ .

We need to find  $x = \pi^T$  the vector of the stationary probabilities  $\pi_i$  that the system is in the state i at the time t from:

$$Q^T x = 0, x \ge 0, x^T e = 1$$
 (15)

where Q is an  $n \times n$  transition rate matrix (with dominant diagonal and rank (n-1), x a vector of state

probabilities and  $e=(1,1,\ldots,1)^T$ . The most intuitive approach to solve a homogenous linear system Equation (15) is to replace an arbitrary equation of that system with the normalization equation  $x^Te=1$ . Let  $Q_p$  be the matrix Q with the pth column replaced with the vector e, then the system can be written as  $Q_p^Tx=e_p$ , where  $e_p=(\rho_{ip})$  for  $i=1,\ldots,n$ . Let  $Q_p^T=WZ$ . Solving Equation (4) with WZ factorization to have

$$\begin{cases} Wy = c \\ Zx = y \end{cases}$$

we can set Zx=y in the system  $WZx=e_p$  to get  $Wy=e_p$ . From which it is obvious that  $y=e_p$  bacause W is unimodular matrix, where we can now solve the system  $Zx=e_p$ . However, we may apply WH factorization instead of the classical WZ factorization in the Markov chains by letting  $Q_p^T=WH$ . Now, we set Hx=y in the system  $WHx=e_p$  to get  $Wy=e_p$  and then solve the system  $Hx=e_p$ . Preconditioning prevents the problem of convergence of the coefficient matrix Q of the linear system. Since Q is ill-conditioned matrix, then Equation (15) can be transformed by preconditioning it as

$$M^{-1}Q^Tx = 0, x \ge 0, x^Te = 1$$
 (16)

where M is a nonsingular matrix. However, Equation (15) and Equation (16) have the same solution but different condition number with accuracy  $\|M^{-1}Q^Tx - 0\|$ .

# B. Cryptography: Goldreich-Goldwasser-Halevi encryption scheme

Cryptography is a science of information security which aims to achieve security goals such as confidentiality, authentication, data integrity and nonrepudiation (Schneier, 2007). Most of the available cryptographic schemes widely deployed today lying in their security on the hardness of number theoretic hard problems such as integer factorization problem (IFP), discrete logarithm problem (DLP) and elliptic curve discrete logarithm problem (ECDLP). The most establish cryptographic schemes which rely on these problems are Rivest-Shamir-Adleman (RSA), El-Gamal and elliptic curve cryptosystems. However, the security of these schemes can be compromised due to the existence of powerful algorithm known as Shor's quantum

algorithm which can solve these problems in reasonable amount of time (Shor, 1994). Unfortunately, the algorithm requires a fully functioning quantum computer to be executed effectively. Therefore, it is prudent to find alternatives to avoid global security threats once the fully functioning quantum computer is being established.

One of the most promising candidates to replace the number theoretic-based cryptographic schemes is latticebased cryptography (Schneier, 2007). The idea behind the lattice-based cryptography is to exploit the immunity of some lattice problems such as the shortest vector problem (SVP) and closest vector problem (CVP) against the Shor's quantum algorithm (Ekert & Jozsa, 1996). Another selling point of the lattice-based cryptography is the establishment of relationship between the worst case and average case hardness of these lattice problems. The earliest latticebased encryption scheme which was considered as the most practical scheme is Goldreich-Goldwasser-Halevi encryption scheme or GGH scheme. Through various empirical results, Goldreich, Goldwasser, and Halevi (1997) analysed the security of the GGH scheme and conjectured that the scheme was intractable in practice for a lattice dimension above 300. However, the key size of the GGH scheme is larger than those cryptosystems since the public and private keys of the GGH scheme are the lattice bases. Due to the attack on the GGH Scheme, Nguyen (1999) discovered that the main weaknesses of the scheme are due to its key generation process. The generated public basis B allowed his attack to succeed in simplifying the underlying lattice CVP instance into its simpler form. Although Nguyen's attack successfully decrypted the published GGH internet challenges up to lattice dimension of 350. The security of GGH scheme can still be upgraded by improving the key generation processes to address the weaknesses exploited by Nguyen's attack. The improvement is not only on the security aspect to make the GGH Scheme stronger than its original version, but also in efficiency aspect. The size of the bases should be reduced to allow larger lattice dimension to be implemented while keeping the scheme practical. Suppose that  $B, H \in \mathbb{R}^{n \times n}$  be nonsingular with linearly independent vectors  $\vec{b}_1, \vec{b}_2 \dots, \vec{b}_n$  and  $\vec{h}_1, \vec{h}_2 \dots, \vec{h}_n$  as their columns respectively. The lattice  $L(B) \subset \mathbb{R}^n$  that spanned by the basis B is defined as follow

$$L(B) = \left\{ \sum_{i,j=1}^{n} \mu_{i,j} \vec{b}_i \middle| \vec{b}_i \in B \text{ and } \mu_{i,j} \in \mathbb{Z}, \forall i, j = 1, \dots, n \right\}$$

and the lattice  $L(H) \subset \mathbb{R}^n$  that spanned by the basis H is defined as follow

$$L(H) = \left\{ \sum_{i,j=1}^{n} \tau_{i,j} \vec{h}_{i} \middle| \vec{h}_{i} \in H \text{ and } \tau_{i,j} \in \mathbb{Z}, \forall i, j = 1, \dots, n \right\}$$

To ensure that the bases B and H are spanning the same lattice, i.e., L(B) = L(H), the matrix W is required to be a unimodular matrix with  $det(W) = \pm 1$ .

**Proposition 2**: Let  $B, H \in \mathbb{R}^{n \times n}$  be two non-singular square matrices such that B = HW where  $W \in \mathbb{Z}^{n \times n}$ . If W is a unimodular matrix, the B and H are bases that spanning the same lattice, i.e., L(B) = L(H).

#### Proof

Suppose the matrices  $B, H \in \mathbb{R}^{n \times n}$  are the basis of the lattice L(B) and L(H) respectively. This implies that, the basis vectors  $\vec{b}_1, \vec{b}_2 \dots, \vec{b}_n \in L(B)$  and  $\vec{h}_1, \vec{h}_2 \dots, \vec{h}_n \in L(H)$ . Given that B = HW. Then we have,

$$\begin{bmatrix} b_{1,1} & b_{1,2} & \cdots & b_{1,n} \\ b_{2,1} & b_{2,2} & \cdots & b_{2,n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n,1} & b_{n,2} & \cdots & b_{n,n} \end{bmatrix}$$

$$= \begin{bmatrix} h_{1,1} & h_{1,2} & \cdots & h_{1,n} \\ h_{2,1} & h_{2,2} & \cdots & h_{2,n} \\ \vdots & \vdots & \ddots & \vdots \\ h_{n,1} & h_{n,2} & \cdots & h_{n,n} \end{bmatrix} \begin{bmatrix} w_{1,1} & w_{1,2} & \cdots & w_{1,n} \\ w_{2,1} & w_{2,2} & \cdots & w_{2,n} \\ \vdots & \vdots & \ddots & \vdots \\ w_{n,1} & w_{n,2} & \cdots & w_{n,n} \end{bmatrix}$$

$$[\vec{b}_1 \quad \vec{b}_2 \quad \cdots \quad \vec{b}_n] = [\vec{h}_1 \quad \vec{h}_2 \quad \cdots \quad \vec{h}_n] [\vec{w}_1 \quad \vec{w}_2 \quad \cdots \quad \vec{w}_n]$$

Note that, each of the  $\vec{b}_i$  vectors can be represented as follows

$$\vec{b}_i = w_{1,i}\vec{h}_1 + w_{2,i}\vec{h}_2 + \dots + w_{n,i}\vec{h}_n$$

for all  $j=1,\ldots,n$ . Assume that, W is a unimodular matrix. Then, the scalars  $w_{i,j}\in\mathbb{Z}$  for all  $w_{i,j}\in W$ . This implies that, the basis vectors  $\vec{b}_1,\vec{b}_2\ldots,\vec{b}_n\in L(H)$ . Hence, we have

$$L(B) \subset L(H)$$

Since W is a unimodular matrix, then  $det(W) = \pm 1$ . That means, there exists  $W^{-1}$  such that  $WW^{-1} = I$ . For simplicity, we let  $W^{-1} = U$ . From B = HW, now we have

$$H = BW^{-1}$$
$$H = BU$$

$$\begin{bmatrix} h_{1,1} & h_{1,2} & \cdots & h_{1,n} \\ h_{2,1} & h_{2,2} & \cdots & h_{2,n} \\ \vdots & \vdots & \ddots & \vdots \\ h_{n,1} & h_{n,2} & \cdots & h_{n,n} \end{bmatrix}$$

$$= \begin{bmatrix} b_{1,1} & b_{1,2} & \cdots & b_{1,n} \\ b_{2,1} & b_{2,2} & \cdots & b_{2,n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n,1} & b_{n,2} & \cdots & b_{n,n} \end{bmatrix} \begin{bmatrix} u_{1,1} & u_{1,2} & \cdots & u_{1,n} \\ u_{2,1} & u_{2,2} & \cdots & u_{2,n} \\ \vdots & \vdots & \ddots & \vdots \\ u_{n,1} & u_{n,2} & \cdots & u_{n,n} \end{bmatrix}$$

$$[\vec{h}_1 \quad \vec{h}_2 \quad \cdots \quad \vec{h}_n] = [\vec{b}_1 \quad \vec{b}_2 \quad \cdots \quad \vec{b}_n] [\vec{u}_1 \quad \vec{u}_2 \quad \cdots \quad \vec{u}_n]$$

Note that, each of the  $\vec{h}_j$  vectors can be represented as follows

$$\vec{h}_i = u_{1,i}\vec{b}_1 + u_{2,i}\vec{b}_2 + \dots + u_{n,i}\vec{b}_n$$

for all j=1,...,n. Since W is a unimodular matrix, then  $W^{-1}=U$  is also a unimodular matrix. That means, the scalars  $u_{i,j}\in\mathbb{Z}$  for all  $u_{i,j}\in U$ . This implies that, the basis vectors  $\vec{h}_1,\vec{h}_2...,\vec{h}_n\in L(B)$ . Hence, we have

$$L(H) \subset L(B)$$

Since  $L(B) \subset L(H)$  and  $L(H) \subset L(B)$ , therefore we have shown that

$$L(B) = L(H)$$

when W is a unimodular matrix.

Based on the structure of hourglass matrix, the matrix could be potentially used as the key (basis) in the GGH encryption scheme. The usage of hourglass matrix is expected to be able to reduce the size of bases, especially the public key. Almost half of the entries of the hourglass matrix are zero entries, which means the size of public key can be reduced if the public key is generated in the form of hourglass matrix. This reduction will allow the GGH Scheme to be implemented in higher lattice dimension while still being able to be efficient and practical. Hourglass matrix has linearly independent columns forming the basis of a lattice, which makes it suitable for GGH scheme. In addition, the generation of hourglass matrix from *QIF* processes can be executed in polynomial time. This give more advantages in terms of efficiency.

### C. Graph theory: Mixed graph

A simple graph G=(V,E) is an ordered pair consisting of a set of vertices  $V=\{v_1,\,v_2\,...\,v_n\}$  and a set of undirected edges  $E=\{e_1,\,e_2\,...\,e_n\}$ , no loop or multiple edges permitted (Rosen & Krithivasan, 2015). A directed graph or digraph is a graph that contains only set of directed arcs with the set of vertices  $V=\{v_1,\,v_2\,...\,v_n\}$  (Rosen & Krithivasan, 2015)A mixed graph G=(V,E,A) is an ordered triple consisting a set of vertices  $V=\{v_1,\,v_2\,...\,v_n\}$ , a set of undirected edges  $E=\{e_1,\,e_2\,...\,e_n\}$  and a set of directed arcs A (Arumugam, Brandstädt, Nishizeki, & Thulasiraman, 2016). The unweighted mixed adjacency matrix of a mixed graph G is defined as  $M=M(G)=[m_{ij}]$  as an  $n\times n$ . matrix indexed by the vertices  $\{v_1,\,v_2\,...\,v_n\}$ , where  $m_{ij}=1$  if  $v_iv_j\in E$ ,  $m_{ij}=-1$  if  $v_iv_j\in A$ , and  $m_{ij}=0$  otherwise; see for instance (Adiga, Rakshith, & So, 2016; Guo & Mohar, 2015).

A butterfly graph (hourglass graph) is a is a planar undirected graph formed by at least two triangles intersecting in a single vertex, especially from 5-vertex graph of two k3's or from friendship graph F2, see (Alikhani, Brown, & Jahari, 2016; Liu, Zhu, Shan, & Das, 2017; Ponraj, Narayanan, & Ramasamy, 2015). However, the hourglass graph discusses here is a mixed complete graph coined from the name of its mixed adjacency matrix which is obtained from hourglass matrix. A direct representation of hourglass matrix to weighted hourglassadjacency matrix will produce a weighted mixed hourglass graph with loops and with or without multiple arcs and undirected edges. There are conditions to be met if the weighted mixed hourglass graph of weighted mixed hourglassadjacency matrix is to be represented, such as taking absolute value of negative weights and making all entries on the antidiagonal the same to avoid multiple arcs and produce edges instead. However, the nature of the entries in hourglass matrix solely depends on the factorization and it is responsible for the weight in the graph. The inconsistence in the representation can be avoided if we consider mixed hourglass-adjacency matrix from the weighted mixed hourglass-adjacency matrix. To do this, we replace the nonzero entries (weights) of the weighted mixed hourglass-adjacency matrix with 1's if there exists an undirected edge, -1's if there exists an arc or loop and o's otherwise, see (Babarinsa & Kamarulhaili, 2019). In order to avoid loops, we assign o's to the diagonal of the mixed

hourglass-adjacency matrix M(G) to obtain mixed hourglass graph G with an edge joining  $v_i$  and  $v_{(n+1-i)}$ ; for  $i=1,2,...,\left\lceil\frac{n-1}{2}\right\rceil$ . With this, the mixed energy, spanning subgraph, Zagreb index and k-factorization of the graph can be obtained from mixed hourglass graph.

**Definition 2**. (Babarinsa & Kamarulhaili, 2019) A mixed hourglass-adjacency matrix  $M(\mathcal{G})$  of a mixed hourglass graph  $\mathcal{G}$  is the  $n \times n (n \geq 3)$  matrix  $M(\mathcal{G}) = (h_{i,j})_{n \times n}$  defined by

$$M(\mathcal{G}) = \begin{cases} 1 & \text{if } v_i v_j \text{ is an edge;} \\ -1 & \text{if } (v_i, v_j) \text{ is an arc;} \\ 0 & \text{otherwise.} \end{cases}$$

**Proposition 3.** Let  $\mathcal{G}$  be a mixed hourglass graph and let  $det(M(\mathcal{G}))$  be the determinant of mixed hourglass-adjacency matrix  $M(\mathcal{G})$  of order n. Then

$$det(M(G)) = \begin{cases} 0 & \text{if } n \text{ is odd} \\ -1 & \text{if } n = 2k \text{ where } k \text{ is odd} \\ 1 & \text{if } n = 2k \text{ where } k \text{ is even.} \end{cases}$$

Proof

We let  $det(M(\mathcal{G})) = cos\left(\frac{\pi n}{2}\right)$  because  $-1 \le cos\left(\frac{\pi n}{2}\right) \le 1$  irrespective of the value of n. Noticeably, when n is odd then  $cos\left(\frac{\pi n}{2}\right) = 0$ . If n is even, then n = 2k for  $k \in \mathbb{N}$ . We have,

$$\cos\left(\frac{\pi n}{2}\right) = \cos(\pi k) = (-1)^k$$

Thus,

$$(-1)^k = \begin{cases} 1 & \text{if } k \text{ is even} \\ -1 & \text{if } k \text{ is odd.} \end{cases}$$

Therefore,

$$\cos\left(\frac{\pi n}{2}\right)$$

$$=\begin{cases}
0 & \text{if n is odd} \\
(-1)^k = \begin{cases}
0 & \text{if n is odd} \\
-1 & \text{if } n = 2k \text{ where } k \text{ is odd} \\
1 & \text{if } n = 2k \text{ where } k \text{ is even.}
\end{cases}$$

# IV. CONCLUSION

Results on hourglass matrix and its quadrant interlocking factorization hasbeen discussed. The applications of the matrix and its factorization has been highlighted. We conclude that *WH* factorization may not exist for every

nonsingular matrix even if the matrix can be factorized from *WZ* factorization. However, the advantages of hourglass matrix go beyond scientific computing and surpass its counterpart *Z*-matrix.

#### V. ACKNOWLEDGEMENT

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